

SUB-BROKER APPLICATION FORM
(Firms/ Corporates to submit the form on Letter Head)

To
 The Director
 Indian Bullion Market Association Ltd (IBMA).
 102-A, Landmark, Suren Road,
 Chakala, Andheri (East),
 Mumbai 400 093.

Dear Sir,

Sub: Application for the Registration as a Sub-Broker

I/We _____ (Sub-broker name) hereby apply for the approval to act as sub-broker of IBMA.

1. Name of the Applicant.				
2. Status of Applicant	<input type="checkbox"/> Individual <input type="checkbox"/> Corporate <input type="checkbox"/> Firm			
3. Address of Applicant				
	City		Pin Code	
	State		Country	
	Mobile No.		Tel No.	
	E-mail ID:			
4. Age of Applicant				
5. Applicant Father's / Husband name				
6. Qualification of Applicant				
7. Present business / occupation of Applicant				
8. Present Networth of Applicant				
9. Experience of Applicant in capital mrkt/commodities mrkt.				
10. Pan Card Number of Applicant				
11. Nationality of Applicant				

12	<p>Please give the following details of the applicant</p> <p>a) Have you been declared/ rendered incompetent to enter into contract under any law in force in India?</p> <p>b) Have you ever been declared a defaulter by any Association or Exchange recognized by the Government under any law</p> <p>c) Whether any court case is pending against applicant / directors?</p> <p>d) Have you ever been pronounced guilty of a criminal offence involving moral turpitude?</p> <p>e) Has any disciplinary action been taken by any commodity / stock exchange against you or any of the promoter / directors / shareholders / employees of your organisation? If so. Please provide details in a separate sheet.</p> <p>f) Have you ever been adjudged bankrupt or have been proved to be insolvent at any time?</p> <p>g) Have you ever been involved in litigations, suits, or proceedings or have been involved in any financial liability of contingent or unascertained nature?</p> <p>h) Have you ever been at any time convicted of an offence involving fraud or dishonesty or financial irregularities?</p> <p>i) Have you ever been associated with or a trading member of or subscriber to or a shareholder or debenture holder of any other commodity/stock exchange?</p> <p>j) Have you ever been denied/rejected membership of any commodity/stock exchange or commercial organization? If yes, when?</p> <p>k) Have you committed any act which may render you liable to be wound-up? l) Have you ever been suspended/expelled/declared a defaulter on any commodity/Stock exchange or have been debarred from trading in commodities/securities by any Regulatory Authorities like APMC, FMC, RBI SEBI, etc.?</p> <p>m) Have you ever had a provisional liquidator or receiver or official liquidator appointed by a competent court against you?</p>	<p>Yes/ No</p> <p>Yes/ No</p> <p>Yes/ No</p> <p>Yes/ No</p> <p>Yes/ No</p> <p>Yes/ No</p> <p>Yes/ No</p> <p>Yes/ No</p> <p>Yes/ No</p> <p>Yes/ No</p> <p>Yes/ No</p> <p>Yes/ No</p> <p>Yes/ No</p>
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Name of the Applicant	Signature of the Applicant